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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshida, et al.

Serial No.: 09/750,504

Filed: December 26, 2000

For: SEMICONDUCTOR LASER  
DEVICE

Art Group Unit: 2828

Examiner: Quyen P. Leung

Attorney Docket: 6635-60104 (07742-09)

**CERTIFICATE OF  
MAILING/TRANSMISSION**  
(37 C.F.R. § 1.8A)

I hereby certify that this correspondence is, on the date shown below, being:  
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Date

Hal R. Yeager

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98  
WITH CERTIFICATION AND PETITION FOR CONSIDERATION  
UNDER 37 C.F.R. §1.97**

BOX AF  
Commissioner for Patents  
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Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted after the mailing of either a final action or a notice of allowance and on or before the payment of the issue fee. The appropriate certification and processing fee are provided immediately below.

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Certification under 37 C.F.R. §1.97(d) and (e).

Pursuant to 37 C.F.R. §1.97(e)(1), the undersigned, Hal R. Yeager, hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

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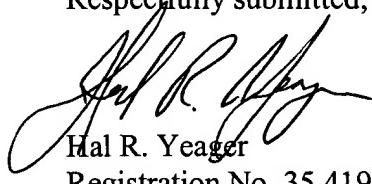
**Processing Fee Pursuant to 37 C.F.R. §1.97(d) and § 1.17(p)**

In accordance with 37 C.F.R. § 1.97(d), a check in the amount of \$180 is enclosed to cover the processing fee under 37 C.F.R. §1.17(p). If an additional fee, or additional monies are required because of underpayment or inadvertent omission of the check, the Commissioner is hereby authorized to charge deposit account No. 501395. A duplicate of this Statement is enclosed herewith.

All of the enclosed citations are in English with the exception Citation B4, which is in the Japanese Language. An English-language abstract of Citation B4, as provided by the Japanese Patent Office, is attached to the front of the citation. Citation B4 and the other enclosed citations were cited in a Search Report issued by the European Patent Office for a counterpart European patent application. A copy of this Search Report in the English language is enclosed to satisfy the Office's requirement for a concise explanation of relevance of each citation which is not in the English language.

This Information Disclosure Statement is not to be construed as a representation or admission that any of the listed citations, by itself or in combination with other information, is material to patentability, is, in fact, prior art, or establishes or a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



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April 21, 2002  
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